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(54) Title: BIOSENSOR FOR HEMATOCRIT DETERMINATION			
<p>The diagram illustrates a biosensor (1) for hematocrit determination. It features a porous membrane (9) with an electroactive compound, positioned between two electrodes (4, 5) on a substrate (3). A blood sample (11) is shown being applied to the membrane. Leads (7) are attached to the electrodes (4, 5) for electrical connection.</p>			
(57) Abstract			
<p>A biosensor (1) and method for determining the hematocrit level of a whole blood sample (11) using electrochemistry. The biosensor (1) includes working (4) and counter (5) electrodes. A porous membrane (9) is impregnated with an electroactive compound and is spatially displaced from the surface of the electrodes (4, 5). When a whole blood sample (11) is applied to the porous substrate (9), a mixture of the electroactive compound and the blood (11) is formed. The mixture settles on the electrodes (4, 5) and a potential difference is applied sufficient to oxidize or reduce the electroactive compound and generate a current. This current can be measured and correlated to hematocrit level.</p>			

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blood and compound mixture to the surface of the electrodes. A potential difference is then applied to the electrodes sufficient to oxidize or reduce the compound in the mixture and generate a current. Measurement of the current is the basis for the hematocrit measurement.

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Brief Description of the Drawings

Figure 1 is a schematic top view of a preferred embodiment of the biosensor, excluding the porous substrate and the cover mesh.

Figure 2 is a schematic cross-sectional view of figure 1 taken along plane A of figure 1 and includes the porous substrate and cover mesh.

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Detailed Description of the Invention

The field of electrochemistry is based on the phenomenon that many metals, metal ions, and conjugated molecules easily accept and/or release electrons. The standard potential of an electroactive compound is the energy level at which the compound is equally inclined 15 to release or accept electrons. Applying an electrical potential to an electrode which is more positive than the electrode's standard potential in a solution containing an electroactive compound causes oxidation (release of electrons) of the compound. Applying a potential to an electrode which is more negative than the electrode's standard potential in a solution containing an electroactive compound causes reduction (addition of electrons) of the 20 compound. This invention relies on an electrochemical technique known as amperometry.

Amperometry involves applying a potential and collecting the moving electrons as a current.

In the present invention, an electroactive compound is mixed with a blood sample. The amount of current generated by oxidation or reduction of the electroactive compound in this mixture may be correlated to the hematocrit level of the blood sample. The example 25 which follows illustrates the preferred embodiment of the present invention.

Reference is now made to figures 1 and 2. Biosensor 1 has a first insulating substrate 2, which is about 360 microns thick and made of polyester. Working electrode 4 and counter electrode 5 are each about 0.1 micron thick, made of palladium, and are affixed to first insulating substrate 2 by the use of hot melt adhesive (not shown). Electrodes 4 and 5

are deposited on a backing of insulator material 6, a polyimide, to reduce the possibility of tearing the electrode before it is affixed to substrate 2. Backing 6 is about 25 microns thick.

The electrode and polyimide combination is commercially available from Courtaulds Performance Films in California. Electrodes 4 and 5 extend from one end of substrate 2 to 5 the other end in parallel configuration. The distance between electrodes 4 and 5 is about 1.2 millimeters (mm).

Substrate 3 is fixed on top of substrate 2 and electrodes 4 and 5 by the use of hot melt adhesive (not shown). Substrate 3 is about 250 microns thick, made of polyester, and includes window 7 which exposes substantially equal surface areas of electrodes 4 and 5.

10 Window 7 is 4mm by 6mm and electrodes 4 and 5 are each 1.5mm in width. Therefore, a surface area of about 6mm² is exposed for each of the two electrodes. Substrate 3 also has cutout portion 8 at one end to allow an electrical connection between the electrodes and a power source (not shown) and a current measuring meter (not shown).

Biosensor 1 also has a polyester mesh 9. It is impregnated with a reagent that 15 includes oxidized and reduced forms of a reversible electroactive compound (potassium hexacyanoferrate (III) ("ferricyanide") and potassium hexacyanoferrate (II) ("ferrocyanide"), respectively), an electrolyte (potassium phosphate buffer), and a microcrystalline material (Avicel RC-591F - a blend of 88% microcrystalline cellulose and 12% sodium carboxymethylcellulose, available from FMC Corp.). Concentrations of the components 20 within the reagent before drying are as follows: 400 millimolar (mM) ferricyanide, 55 mM ferrocyanide, 400 mM potassium phosphate, and 2.0% (weight:volume) Avicel.

Polyester mesh 9 is impregnated with the reagent described above by dispensing six microliters (μ L) of the reagent directly onto mesh 9. Mesh 9 is then dried by heating at about 25 50°C for about 15 minutes. The drying process removes at least about 90% of the water content of the reagent. After the reagent has dried, mesh 9 is affixed above window 7 on second insulating substrate 3 as shown in Figure 2.

A polyester cover mesh 10 is then affixed to reagent-impregnated mesh 9 in order to protect mesh 9. Cover mesh 10 is impregnated with a surfactant in sufficient amount to aid in drawing blood sample 11 through cover mesh 10 and into reagent-impregnated mesh 9.

The reagent described above was made as follows:

Step 1: 7.45 grams (g) monobasic potassium phosphate and 60.14 g dibasic potassium phosphate were added to 400 g water. After stirring, the resulting solution was adjusted to pH 8.0 with 6N potassium hydroxide.

5 Step 2: 20 g Avicel was added to 480 g water and stirred at high speed.

Step 3: the aqueous buffer from step 1 and the Avicel mixture from step 2 were combined in a beaker and stirred.

Step 4: 24.3 g ferrocyanide was slowly added to the mixture from step 3.

Step 5: 131.70 g ferricyanide was slowly added to the mixture from step 4, allowing 10 the ferricyanide to dissolve as it was added.

Step 6: water was added to the mixture from step 5 until the beaker contained 1 liter of the mixture.

The biosensor apparatus described above may be used to determine the hematocrit level of a blood sample by the following method. Twenty microliter (μ L) blood sample 11 is 15 added to cover mesh 10, which delivers blood sample 11 to reagent-impregnated mesh 9, thereby forming a reagent and blood mixture which is deposited on the surface of electrodes 4 and 5. Twenty seconds after blood sample 11 is applied to biosensor 1, a potential difference of 500 millivolts is applied to the two electrodes. This twenty second time delay allows blood sample 11 sufficient time to hydrate the reagent and settle on electrodes 4 and 20 5.

When the potential difference is applied, the ferrocyanide at the surface of the more positively charged electrode is immediately oxidized to ferricyanide. Since oxidation is the process being measured in the embodiment described above, this electrode is called the working electrode by convention. The ferricyanide at the surface of the more negatively charged electrode is immediately reduced to ferrocyanide. This electrode is known as the counter electrode. Since the blood and reagent mixture is not stirred, additional ferrocyanide and ferricyanide in the blood and reagent mixture will diffuse to the surface of the working and counter electrodes respectively, where more ferrocyanide is oxidized to ferricyanide and

more ferricyanide is reduced to ferrocyanide. The resulting current is known as a diffusion-limited current.

- In the example above, the diffusional event which limits the amount of current generated is the diffusion of ferrocyanide to the surface of the working electrode. This 5. diffusional event limits the current generated because current is proportional to the concentration of the electroactive compound at the surface of the electrode times the surface area of the electrode. In the example above, the surface areas of the working and counter electrodes are substantially equal, but the concentration of ferricyanide in the blood and reagent mixture is greater than the concentration of ferrocyanide in the blood and reagent 10 mixture. As a result of this concentration difference, which occurs because more ferricyanide is added to the reagent than ferrocyanide, the current limiting event in the example described above is oxidation of ferrocyanide at the surface of the working electrode.

Measurement of this diffusion-limited current is the basis for a hematocrit measurement. When blood sample 11 is added to biosensor 1 as described above, a 15 relationship is observed between hematocrit and current. As the hematocrit (percentage of red blood cells) of the blood sample increases, the current decreases.

The amount of ferrocyanide in the reagent mixture (before drying) discussed above must be present in sufficient amount to correlate current measurements to hematocrit level of the blood sample being measured. In the example discussed above, a concentration of 10 20 millimolar (mM) ferrocyanide was sufficient to correlate current measurements to hematocrit level in an assay. Below a 10 mM concentration of ferrocyanide, correlation of current to hematocrit level worsened until measurements of hematocrit level could no longer be made. Above a 10 mM concentration of ferrocyanide, correlation of current to hematocrit level improved until the reagent was saturated with ferrocyanide. Once the sample being 25 measured was saturated with ferrocyanide, further increasing the amount of ferrocyanide worsened the correlation of current to hematocrit level due to the presence of undissolved ferrocyanide.

In the example discussed above, the amount of ferricyanide present in the reagent mixture must be in excess of the amount of ferrocyanide present. In order for the oxidation

- of ferrocyanide to be the current-limiting event, the amount of ferricyanide needs to be present in greater concentration than ferrocyanide. Precision of the biosensor was seen to improve as the concentration of ferricyanide increased, until the sample being measured was saturated with ferricyanide. Increasing the amount of ferricyanide beyond the saturation 5 point worsened precision and correlation of current to hematocrit level due to the presence of undissolved ferricyanide.

The upper limit of the amount of electroactive compound that may be incorporated into polyester mesh 9 will differ for different electroactive compounds due to the differences in solubility of those compounds in the reagent. The lower limit of the amount of 10 electroactive compound that may be incorporated into polyester mesh 9 will also differ for different electroactive compounds due to differences in the amount necessary to correlate current measurements to hematocrit level of the blood sample being measured.

As an alternative to measuring the oxidation of ferrocyanide to ferricyanide in the example discussed above, the reduction of ferricyanide to ferrocyanide could be measured. 15 In this case, the reduction of ferricyanide to ferrocyanide would be the current limiting step. The amount of ferricyanide in the reagent would be of critical importance, while the amount of ferrocyanide would only need to exceed the amount of ferricyanide.

The discussion above has described the preferred embodiment of the present invention. Variations of this invention are possible and will now be described.

20 Insulating substrates 2 and 3 may be of any useful thickness. The biosensor is intended to be mass produced from rolls of material, necessitating the selection of a material which is sufficiently flexible for roll processing and at the same time sufficiently rigid to give a useful rigidity to the finished biosensor. Typically, plastics, such as vinyl polymers and polyimides provide the electrical and structural properties which are desired.

25 In addition to palladium, other electrically conducting materials may be used for electrodes 4 and 5 including platinum, gold, silver, carbon, titanium, and copper. Noble metals are preferred because they provide a more constant, reproducible electrode surface area. Palladium is particularly preferred because it is one of the more difficult noble metals to oxidize. Silver is not preferred because it is more readily oxidized by air than the other

noble metals listed above. Electrodes 4 and 5 must be sufficiently separated so that the electrochemical events at one electrode do not interfere with the electrochemical events at the other electrode.

Polyester mesh 9 may be any porous substrate that has sufficient porosity to allow 5 passage of a whole blood sample. Examples of substrates that may be used include meshes, films, soluble polymers, and membranes.

Examples of reversible electroactive compounds that could be used in place of ferricyanide are phenazine ethosulfate, phenazine methosulfate, phenylenediamine, 1-methoxy-phenazine methosulfate, 2,6-dimethyl-1,4-benzoquinone, 2,5-dichloro-1,4-10 benzoquinone, and 2,6-dichloro-1,4-benzoquinone.

In the alternative, a quasi-reversible electroactive compound or a non-reversible electroactive compound could be used. Depending on the standard potential of the compound chosen, a greater potential difference may have to be applied in order to cause oxidation or reduction of the compound. The standard potential of the electroactive 15 compound (the energy level at which it is equally inclined to release or accept electrons) must be within the operating window of the electrode (the potential range where good electrochemical measurements can be made). The operating window for an electrode is defined on the positive end by the potential at which the electrode itself is oxidized and at the negative end by the reduction of oxygen and evolution of hydrogen from water.

20 The electrolyte present in the reagent described above may be a buffer or a salt, and is present to aid in the transfer of electrons at the electrode surface. The microcrystalline material is present in the reagent to aid in the dispersal of the reagent on the mesh during manufacturing. Examples of microcrystalline materials that may be used are microcrystalline cellulose, dextrans, and chitin. If the microcrystalline material is present below about 0.5% 25 (weight:volume), the reagent is more likely to fall off of the mesh after drying. Above about 4.5% (weight:volume), the reagent gels.

As an alternative, porous substrate 9 could be eliminated and the reagent could be dispensed directly into window 7 of second insulating substrate 3. Cover mesh 10, if used, would then be applied directly over window 7 of second insulating substrate 3.

This invention could also be practiced by impregnating porous substrate 9 with an electroactive compound alone, rather than the entire reagent described above.

The blood sample applied to the biosensor should be from about 10 microliters (μL) to about 50 microliters (μL). Sample volumes of less than about 10 microliters (μL) may not 5 sufficiently hydrate the electroactive compound, resulting in a reduced current and poor precision. Sample volumes of greater than about 50 microliters (μL) may dilute the compound too much within the sample and result in a reduced current.

After the blood sample has been applied to the biosensor, application of the potential difference may be delayed from about 5 seconds to about 50 seconds. The potential 10 difference applied between the electrodes should be sufficient to oxidize or reduce the electroactive compound but insufficient to oxidize or reduce other materials, like blood components or the electrode surface. The size of the potential difference is also related to the electrochemical properties of the electroactive compound. If the electroactive compound is reversible, adding only a small amount of energy to the standard potential will cause 15 oxidation or reduction and therefore a smaller potential difference is required. Generally, if the electroactive compound is reversible, a potential difference of only about 120 millivolts is required. If the electroactive compound is not reversible, a much larger amount of energy may need to be added to the compound's standard potential in order to cause oxidation or reduction and therefore a larger potential difference will be required.

20 In the preferred embodiment described above, working electrode 4 and counter electrode 5 are substantially the same size and are made of the same electrically conducting material. However, other two electrode biosensors are possible. For example, the working and counter electrodes may be made of different materials and may be different sizes. Also, three electrode biosensors, including working, counter, and reference electrodes, are 25 possible. In each case it is important that the current limiting event take place at the working electrode.

The meter described above will normally be adapted to apply an algorithm to the current measurement, whereby the hematocrit level is provided and visually displayed. Improvements in such a power source and meter are the subject of commonly assigned U.S.

Patent Number 4,963,814 (issued October 16, 1990), U.S. Patent Number 4,999,632, issued
March 12, 1991 (Application Serial No. 07/451,212, filed December 15, 1989), U.S. Patent
Number 4,999,582, issued March 12, 1991 (Application Serial No. 07/451,108, filed
December 15, 1989), and U.S. Patent Application Serial No. 07/451,305 (filed December 15,
5 1989; allowance granted by U.S. Patent Office Board of Patent Appeals and Interferences on
September 24, 1992), the disclosures of which are hereby incorporated by reference.

What is claimed is:

1. An apparatus for measuring the hematocrit level of a blood sample, comprising:
 - (a) a first insulating substrate;
 - (b) working and counter electrodes affixed to the first insulating substrate;
 - 5 (c) a second insulating substrate, which overlays the working and counter electrodes, has a window for exposing a portion of the working and counter electrodes, and has a cut out portion at one end to allow contact between the electrodes and a meter and a power source; and
 - (d) a porous substrate, which is impregnated with an electroactive compound, 10 overlays the window, and is spatially displaced from the working and counter electrodes.
2. The apparatus of claim 1, wherein the electrically conducting material of the working and counter electrodes is palladium, platinum, gold, silver, titanium, copper, or carbon.
- 15 3. The apparatus of claim 2, wherein the working and counter electrodes are made of the same material and are substantially the same size.
4. The apparatus of claim 2, wherein the porous substrate is a mesh, a membrane, or a porous film.
5. The apparatus of claim 3, wherein the porous substrate is a polyester mesh.
- 20 6. The apparatus of claim 5, further comprising a cover mesh overlaying the porous substrate.
7. The apparatus of claim 5, further comprising:
 - (e) the power source in electrical connection with the working and counter electrodes and capable of supplying an electrical potential difference between the working and counter electrodes sufficient to cause diffusion limited electrooxidation of the reduced form of the electroactive compound or diffusion limited electroreduction of the oxidized form of the electroactive compound at the surface of the working electrode; and

(f) the meter in electrical connection with the working and counter electrodes and capable of measuring the diffusion limited current produced by the oxidation of the reduced form of the electroactive compound or the reduction of the oxidized form of the electroactive compound at the working electrode surface.

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8. The apparatus of claim 7, wherein the cover mesh is also impregnated with a surfactant or surface active agent in sufficient amount to aid in drawing the electroactive compound into the porous substrate when a blood sample is added to the cover mesh.

9. The apparatus of claim 8, further comprising:

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(e) the power source in electrical connection with the working and counter electrodes and capable of supplying an electrical potential difference between the working and counter electrodes sufficient to cause diffusion limited electrooxidation of the reduced form of the electroactive compound or diffusion limited electroreduction of the oxidized form of the electroactive compound at the surface of the working electrode; and

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(f) the meter in electrical connection with the working and counter electrodes and capable of measuring the diffusion limited current produced by the oxidation of the reduced form of the electroactive compound or the reduction of the oxidized form of the electroactive compound at the working electrode surface.

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10. The apparatus of claim 8, wherein the porous substrate is impregnated with a reagent, the reagent comprising a reversible electroactive compound, an electrolyte, and a microcrystalline material.

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11. The apparatus of claim 9, wherein the porous substrate is impregnated with a reagent, the reagent comprising a reversible electroactive compound, an electrolyte, and a microcrystalline material.

12. The apparatus of claim 11, wherein the oxidized form of the electroactive compound is potassium hexacyanoferrate (III) and the reduced form of the electroactive compound is potassium hexacyanoferrate (II).

13. The apparatus of claim 12, wherein the porous substrate is also impregnated with a surfactant or surface active agent in sufficient amount to aid in spreading the electroactive compound throughout the porous substrate when manufacturing the biosensor.

14. An apparatus for measuring the hematocrit level of a blood sample, comprising:

- 5 (a) a first insulating substrate;
- (b) working and counter electrodes affixed to the first insulating substrate;
- (c) a second insulating substrate, which overlays the working and counter electrodes, has a window for exposing a portion of the working and counter electrodes, and has a cut out portion at one end to allow contact between the electrodes and a meter and a power source; and
- 10 (d) a reagent which includes an electroactive compound and which substantially covers the exposed electrode surfaces in the window of the second insulating substrate.

15. The apparatus of claim 14, wherein the electroactive compound is a reversible electroactive compound and the reagent further includes an electrolyte and a microcrystalline material.

16. The apparatus of claim 15, further comprising a cover mesh overlaying the window in the second insulating substrate.

17. A method of measuring the hematocrit level of a blood sample, comprising:

- 20 (a) adding the blood sample to the porous substrate of the apparatus of claim 1, thereby forming a mixture of the electroactive compound and the blood sample, the mixture being deposited on the surface of the electrodes;
- (b) applying a potential difference between the working and counter electrodes that is sufficient to oxidize or reduce the electroactive compound in the mixture at the surface of the working electrode, thereby generating a current; and
- 25 (c) measuring the current and correlating the current to hematocrit level.

18. The method of claim 17, wherein the electrically conducting material of the working and counter electrodes is palladium, platinum, gold, silver, titanium, copper, or carbon.

19. The method of claim 18, wherein the electrodes are made of the same material and are substantially the same size.
20. The method of claim 18, wherein the porous substrate is a polyester mesh.
21. A method of measuring the hematocrit level of a blood sample, comprising:
 - 5 (a) adding the blood sample to the porous substrate of the apparatus of claim 2, thereby forming an electroactive compound and blood sample mixture, which is deposited on the surface of the electrodes;
 - (b) applying a potential difference between the electrodes that is sufficient to oxidize the electroactive compound in the mixture at the surface of one electrode and to reduce the electroactive compound in the mixture at the surface of the other electrode, thereby generating a current; and
 - (c) measuring the current and correlating the current to hematocrit level.
22. The method of claim 21, wherein the porous substrate is a polyester mesh, a membrane, or a porous film.
- 15 23. The method of claim 22, wherein the oxidized form of the electroactive compound is potassium hexacyanoferrate (III) and the reduced form of the electroactive compound is potassium hexacyanoferrate (II).

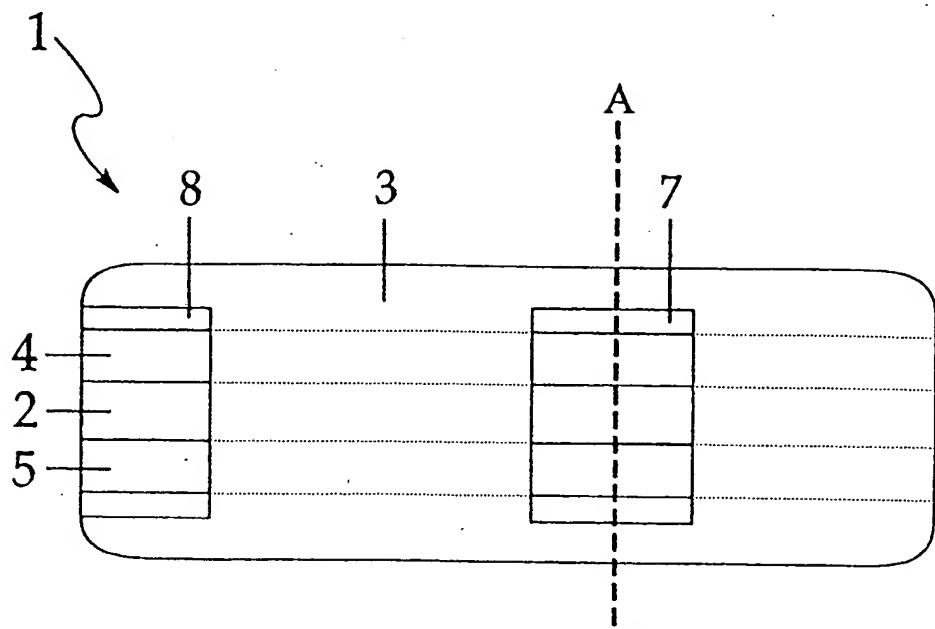


Figure 1

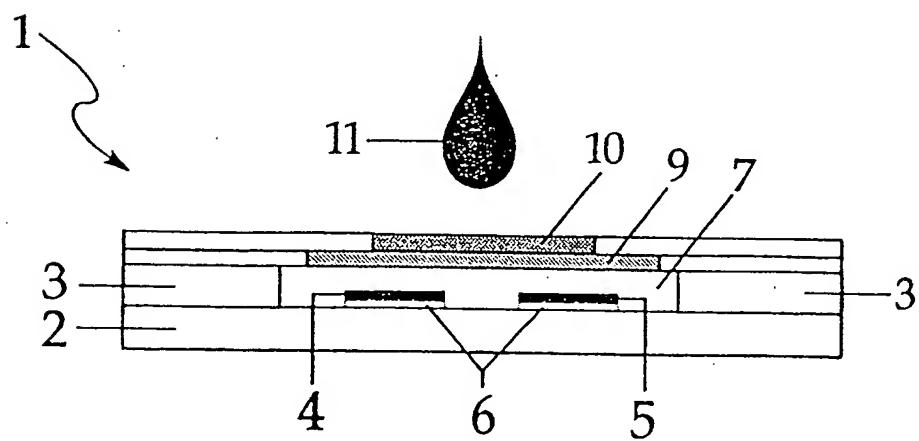


Figure 2

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/06237

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :G01N 33/86, 27/327

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 204/299R, 403; 324/439; 422/82.01, 82.02, 82.03; 436/66; 70, 150, 151

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CA, BIOSIS, MEDLINE, REGISTRY

search terms: ferricyanate#, ferricyanide#, ferrocyanate#, hematocrit#, electrode#, det##, determin?, measur?, detect?

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO, A, 91/09373 (WHITE) 27 JUNE 1991, see entire document.	14
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Y	US, A, 4,713,165 (CONOVER ET AL) 15 DECEMBER 1987, see figure 6 and associated discussion.	1-13, 15-23
Y	EP, A, 0,535,485 (CHU ET AL) 07 APRIL 1993, see page 4, line 44 to page 5, line 4.	1-23
		8-13

<input checked="" type="checkbox"/>	Further documents are listed in the continuation of Box C.	<input type="checkbox"/>	See patent family annex.
A	Special categories of cited documents:	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
E	document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
L	earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
O	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*&*	document member of the same patent family
P	document referring to an oral disclosure, use, exhibition or other means		
	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 23 AUGUST 1994	Date of mailing of the international search report 02 SEP 1994
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INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/06237

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	BIOCHEMISTRY AND BIOENERGETICS, Vol. 6, issued 1979, A. Caprani, "Investigation, by Electrohydrodynamic Admittance Measurements, of the Influence of Cell Morphology, Wall Potential, and Hematocrit on the Flow of Suspensions of Red Blood Cells in the Vicinity of the Wall", pages 413-425, see figure 6 and pages 414-422.	17-23
A	US, A, 3,922,598 (STEUER ET AL) 25 November 1975.	1-23
Y	US, A, 4,547,735 (KIESEWETTER ET AL) 15 October 1985.	1-23
A	US, A, 4,734,184 (BURLEIGH ET AL) 29 March 1988.	1-23
Y	US, A, 4,876,205 (GREEN ET AL) 24 October 1989.	1-23
A	US, A, 4,999,632 (PARKS) 12 March 1991.	1-23
A	EP, A, 0,417,796 (ISHIHARA) 20 March 1991.	1-23

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/06237

A. CLASSIFICATION OF SUBJECT MATTER:
US CL :

204/299R, 403; 324/439; 422/82.01, 82.02, 82.03; 436/66, 70, 150, 151

**DECLARATION C NON-ESTABLISHMENT OF
INTERNATIONAL SEARCH REPORT**

Int'l application No.
PCT/US94/05321

The International Patent Classification (IPC) or National Classification and IPC are as listed below:

IPC(S): G01N 27/26
US CL: 204/403, 406

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